

This brochure supplement provides background information about the team responsible for managing your investment portfolio.

Supervised Person: Asher Rogovy (800) 732-0818, ext. 701 asher@magnifina.com Magnifina, LLC 25 Broadway, 10th Floor New York, NY 10004 www.magnifina.com

This brochure supplement provides information about Asher Rogovy that supplements the Magnifina, LLC ("Magnifina") brochure. You should have received a copy of that brochure. Please contact us at 800-732-0818, ext. 701 or help@magnifina.com if you did not receive Magnifina's brochure or if you have any questions about the contents of this supplement.

**Supplement Dated:** 

October 5, 2023

SEC Form ADV Part 2B Item 1 – Cover Page

# Item 2 - Educational Background and Business Expertise

### Business Experience:

Magnifina, LLC	Chief Investment Officer	2020-Present
Investment Advisor	Sole Proprietor (fewer than 6 clients)	2012-2019
Bloomsbury Partners, LLC	Principal	2009-2012
HSBC Bank USA, N.A.	AVP – FX Forwards Trading	2007-2009
FXDirectDealer, LLC (d.b.a. FXDD)	FX Dealer	2006-2007

## Educational Background:

Queen Mary University of London	Master of Science (MSc), Economics	2010
Ithaca College,	Bachelor of Arts (B.A.), Economics and English	2005

### Asher Rogovy

FINRA Series 63 Licensed (Uniform Securities Agent State Law Exam)

Born: 1983

# **Item 3 – Disciplinary Information**

Asher Rogovy has never been the subject of legal or regulatory disciplinary action(s).

### Item 4 - Other Business Activities

Mr. Rogovy is not a registered broker-dealer agent and does not have an application pending for registration as a broker-dealer agent. Furthermore, Mr. Rogovy is not registered as, and does not have applications pending to register as, a Futures Commission Merchant, Commodity Pool Operator, or Commodity Trading Adviser.

Mr. Rogovy is a Member of 679 681 Grandview Holdings, LLC ("Grandview"), a company which focuses on the acquisition, ownership, operation, maintenance, and management of commercial real estate and real estate related assets.

Mr. Rogovy is a Member of Tower Philly, LLC ("Tower Philly"), a company which focuses on the acquisition, ownership, maintenance, and management of private equity held assets.

Mr. Rogovy may recommend that clients invest in Grandview and/or Tower Philly which creates a conflict of interest because Mr. Rogovy receives a financial benefit as a Member of Grandview or Tower Philly, in addition to the advisory fees he receives for client assets invested in the same. This conflict is managed by requiring all supervised persons to assure that the recommendation to invest in Grandview and/or Tower Philly is in the client's best interest. In addition, we require all supervised persons to adhere to the Firm's Code of Ethics to ensure that (1) all supervised persons seek prior approval of any outside business activity so that the Firm can ensure that any conflicts of interest in such activities are properly disclosed, and (2) fully disclose to a client when a particular transaction will result in a conflict of interest by providing

them with the Firm's Form ADV Part 2, which discloses this conflict of interest. Please see Item 11 for more information. Finally, the Firm only recommends alternative investments in a non-discretionary manner and the Firm will not accept the appointment to vote proxies in alternative investments. Please see Item 17 for the Firm's Voting Proxy Policy.

### Item 5 – Additional Compensation

Asher Rogovy receives no additional compensation other than as described above.

## Item 6 - Supervision

Asher Rogovy is the Chief Compliance Officer of Magnifina, LLC. He is responsible for overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representative(s). Asher Rogovy can be contacted at (800) 732-0818, ext. 701.